

FEDERAL BUREAU OF PRISONS

STATEMENT OF WORK

CONTRACT SECURE JUVENILE FACILITY

SEPTEMBER 2000

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## INTRODUCTION

### A. BACKGROUND

This Statement of Work (SOW) sets forth the contract performance requirements for the comprehensive management and operation of a secure juvenile correctional facility. The Bureau of Prisons (Bureau) enters into agreements with tribal, state, and local governments, and into contracts with private organizations, to provide for the secure care and confinement of federal juvenile offenders in correctional facilities. Contractors are responsible for ensuring the safety, care, security, control, accountability and custody of juvenile offenders, and providing for public protection through a system of written policies, procedures, and practices that are based on recognized juvenile correctional practices.

### B. OBJECTIVE

The objective of this contract is to provide rehabilitation and accountability for federal juvenile offenders in a secure setting and also address public protection. These offenders include those sentenced to the custody of the Bureau or placed as a condition of supervision by Federal Courts.

### C. EXPLANATION OF TERMS

1. **Bureau of Prisons (Bureau):** A component of the Department of Justice, providing high quality correctional services to confined adult and juvenile federal offenders, through a well-managed, varied network of prison and community-based programs.
2. **Community Corrections Regional Administrator (CCRA):** The Bureau is divided into 6 geographic regions. The CCRA is responsible for all community corrections functions, services and operations within the respective region.
3. **Community Corrections Management Center Administrator (MCA):** Normally, each region has 2 MCAs to whom the CCRA has delegated administrative oversight responsibility for 2-3 field Community Corrections Offices within the region.

4. **Community Corrections Manager (CCM):** The Bureau employee who is the Contracting Officer's Technical Representative (COTR), and is empowered to make judgments and decisions on contract compliance.
5. **Community Corrections Contract Oversight Specialist (COS):** Contract Oversight Specialists, under the direction of CCMs, are responsible for maintaining oversight control of contract facilities through routine contact, correspondence review, announced and unannounced on-site monitoring, and technical assistance visits.
6. **Contractor:** The provider of services described in this SOW. Typically this is a tribal, state, county or city government, Department of Corrections, or a private organization.
7. **Contracting Officer:** The Bureau employee empowered to award, administer and cancel contracts on behalf of the United States Government.
8. **U.S. Probation Officer (USPO):** An officer of the Federal Courts responsible for supervising federal juveniles placed on probation by Federal Courts. USPOs also supervise mandatory releases and those released on parole by the United States Parole Commission (USPC).
9. **Facility:** The correctional institution in which the contractor houses offenders, also referred to as detention center, law enforcement center, training school, correctional center, etc.
10. **Juvenile Offender:** The terms "juvenile," "juvenile offender," "juvenile resident," "student," and "resident" are used interchangeably and are considered synonymous.

The Juvenile Justice and Delinquency Prevention Act (JJDP) (18 U.S.C. 5031 through 5042) specifies the requirements for juveniles who have not attained their eighteenth birthday and those sentenced under the JJDP as juvenile delinquents. The requirements are different for each of three groups of offenders:

- those who have not attained their 18th birthday;

- those who have attained their 18th birthday but have not attained their 21st birthday; and
- those who have attained their 21st birthday.

18 USC 5039 states, "No juvenile committed, whether pursuant to an adjudication of delinquency or conviction for an offense, to the custody of the Attorney General may be placed or retained in an adult jail or correctional institution in which he has regular contact with adults incarcerated because they have been convicted of a crime or are awaiting trial on criminal charges." Secure and community-based facilities are both considered to be correctional facilities.

The Bureau Program Statement on **Juvenile Delinquents, Juvenile Justice and Delinquency Prevention Act**, details requirements for the confinement of juveniles.

The Bureau is **not** the legal guardian of juveniles in these facilities. Guardianship remains with the parents or legal guardian.

#### 11. **Types of Offenders:**

- a. **Transfers from Other Secure Juvenile Facilities:** These juveniles are committed to the custody of the Bureau of Prisons. They have served a portion of their sentence in another juvenile facility.
- b. **Direct Court Commitments:** These offenders are committed to the custody of the Bureau and have been designated to serve their term of confinement in the facility.
- c. **Supervision Cases:** These offenders are referred to the Bureau for placement by a USPO or United States Pretrial Services Officer (USPSO) due to conditional release supervision requirements. The supervision requirements may be by order of a Federal Court, the U.S. Parole Commission, or Federal Pre-Trial Services. The Bureau may pay for room and board (except for Pre-Trial cases) during the period of time spent in the facility on conditional release.

- d. **Probationers:** Offenders that are required to reside in and adhere to program requirements of the facility as a condition of probation for the time specified by the Court.

**NOTE:** There is discussion in this SOW which applies exclusively to some of the offenders placed in the facility and not to others.

Those in the custody of the Bureau are referred to by such terms as "those in the custody of the Bureau of Prisons," "Bureau residents," "Bureau juveniles," etc.

Other discussion refers exclusively to supervision cases -- probationers, supervised releases, mandatory releases, and parolees. They are referred to by such terms as "juveniles under supervision," "supervision cases," etc.

D. **SCOPE OF WORK**

The contractor must provide the necessary facilities, equipment, personnel and staff training that will satisfy the delivery of services requirements outlined in this SOW. The contractor must ensure that programs are conducive to the rehabilitative needs of male and/or female juveniles and do not compromise requirements to maintain sight and sound separation from adult offender populations.

The contractor must develop written operational policies and procedures that adhere to accepted juvenile specific correctional practices as determined by national standards and the Bureau.

If not already accredited by the American Correctional Association (ACA), the contractor must obtain ACA accreditation within 24 months of contract award. Once accredited, the contractor must maintain accreditation throughout the life of the contract, inclusive of any option year exercised.

If not already accredited by the ACA, the contractor must use the **most current Standards For Juvenile Training Schools** augmented by Bureau policy and/or procedure as a guide in developing and implementing written policies and procedures, pending accreditation. Therefore, reference will be made to ACA Standards and Bureau policy and/or procedure throughout

this SOW. The Bureau policy references in this SOW are available through the Bureau's Internet Web Site at <http://www.bop.gov>.

Should a conflict exist between this SOW, ACA standards and Bureau policy and/or procedure, the Contracting Officer, if prior to contract award, or the COTR, following contract award, will serve as the decision-maker in such conflicts.

#### **ADMINISTRATION**

It is the responsibility of the contractor to maintain current documentation to substantiate that the requirements in this SOW are met.

- A. The contractor is to provide authorization for the establishment of the facility. Such statute provides the legal framework within which the facility's administrative structure, philosophy and policies are developed, as well as the basis for assessing performance and identifying needed changes in organization. This is to include a written mission statement and organizational chart.
- B. The contractor must have a current Operations Manual containing written policies and procedures which will be accessible to all staff. It is the responsibility of the contractor to update the Operations Manual and ensure that staff review this manual on an annual basis. In addition to written policies and procedures, the Operations Manual must explain the systems used by the Chief Executive Officer (or other designated decision-maker) to ensure compliance. This includes, but is not limited to the following:
  - The system(s) used to review and update all policies, procedures and programs annually.
  - The system(s) used to monitor, inspect, review and implement corrective actions as deemed necessary in the course of operating a facility for federal juvenile offenders.
  - The system(s) used to disseminate updated information to appropriate staff and administrators regarding policy changes, as deemed necessary.
  - The system(s) used to disseminate pertinent information to juvenile offenders, parents, legal guardians, or

other custodians. Communication must be in the language of the approved parties, including the juvenile.

- The system(s) used to encourage positive contact with the general public, while still preserving the offender's privacy and the facility's safe and secure environment.
  - The system(s) used to conduct internal annual operational audits, using this SOW as the guideline, with written findings and corrective action plans.
- C. The contractor must conform to all applicable zoning ordinances, and building, sanitation, health, and fire codes. Documentation will be made available to confirm adherence.
- D. The contractor must ensure that Bureau-identified staff members attend and participate in meetings, training sessions, monitoring visits and conferences scheduled by the Bureau.
- E. The Bureau is to be the final authority in determining appropriate levels of staffing and services at each site.
- F. The contractor must have FAX and Internet capabilities.

#### **FISCAL MANAGEMENT**

It is the responsibility of the contractor to ensure that written policies and procedures are developed, implemented and monitored in the area of FACILITY FISCAL MANAGEMENT. The contractor is to reference the Program Statement on **Trust Fund/Warehouse/Laundry Manual, Chapters 4526, 4522, 4500.04 and 4523** in conjunction with **Part 1, Section B, American Correctional Association Standards for Juvenile Training Schools.**

- A. It is also the responsibility of the contractor to maintain complete and accurate documentation of all financial transactions. Written policies and procedures must also include audits of all facility financial operations to include the following requirements:
1. A system(s) for maintaining an accurate account of facility expenditures, Commissary Operations



procurements, Juvenile Trust Fund, or other special funds.

2. A system(s) to ensure that the contractor submits all vouchers for reimbursement to the community corrections office by the tenth working day of each month in order to be in compliance with the Federal Government's Prompt Payment Act. The Standard Form 1034 Public Voucher for Purchases and Services Other Than Personal, an example of which is attached to this SOW, includes the following:

- Name and Address of contractor
- Contract Number and Facility Code
- Date of Voucher
- Name and Address of Community Corrections Office
- Date of Service
- Description of Service
- Total Number of Offender Days
- Offender Day Rate
- Amount of Reimbursement
- Attached Alphabetical Rosters of Juveniles by Name, Register Number, Date of Arrival/Release and Number of Offender Days billed for each offender

3. A system to ensure the contractor or designated "Certifying Officer" certifies the accuracy of all financial invoices before submitting them to the CCM. A statement certifying that services have been rendered must be included along with the invoice. The statement must have the contractor's original signature or that of the "Certifying Officer." The statement should include total offender days for the month, offender day rate, and a total amount of invoice, as shown below:

I certify that services have been rendered as indicated on the above invoice and payment is now due for care and custody of federal juvenile offenders during the month of \_\_\_\_\_.

TOTAL OFFENDER DAYS X \$(OFFENDER DAYS RATE) = \$ TOTAL

\_\_\_\_\_  
CERTIFYING OFFICIAL  
TITLE

\_\_\_\_\_  
DATE SIGNED

4. On a monthly basis, by the tenth working day of the month, the contractor must submit **Standard Form 1034, Public Voucher for Purchases and Services Other Than Personal**, for reimbursement of approved expenditures for the following services provided to federal juvenile offenders, such as:
  - **Release Gratuity, Transportation, and Clothing.** The contractor must provide an itemized listing of the release gratuity, transportation, and clothing to the CCM for approval prior to issuance.
  - **Staff Supervision for Hospitalized Juvenile Offenders.** The contractor must be financially responsible for providing all staff supervision as necessary for federal offenders.
  - **Medical Services.** Requests for routine medical care for juveniles in the custody of the Bureau are to be itemized and approved by the CCM prior to service. Requests for approval are to be submitted on the **Request for Medical Treatment Form**, as attached to this SOW.
- B. Emergency medical and psychiatric care must be provided immediately to preserve the life and health of the juvenile. The CCM must be notified of the treatment immediately and must be involved in planning for subsequent treatment.
- C. The Government will only reimburse the contractor, not the actual provider of the treatment, for authorized medical care, dental care, and medications. The appropriate facility medical staff will be responsible for verifying the accuracy of invoices submitted for reimbursement and ensuring that services were received as stated on the invoices.
- D. A medical voucher will be prepared listing the health care providers and total amount due to each vendor. The voucher will list alphabetically the name, register number, treatment rendered, date of service, and a total amount billed, for each juvenile offender. Original invoices from the health care provider must be attached to the medical voucher. A statement certifying accuracy of the medical voucher is to be signed by the appropriate facility "Certifying Officer" at the bottom of the Medical Voucher. A complete copy of all documentation as a secondary

attachment to the voucher must be included, along with an original signature for invoice certification.

- E. The contractor will be responsible for providing each juvenile with a complete physical examination within 14 days of arrival at the facility. However, the Bureau is responsible for additional medical costs for **only** those juveniles committed to the custody of the Bureau. The contractor will obtain instructions from the USPO for emergency medical care for juveniles not committed to the Bureau and for any other medical care that may be required. If yearly physical examinations are required by law, the Bureau will pay the costs of those examinations for all juveniles.

### **PERSONNEL**

All federal juvenile offenders in secure placements must benefit from Direct Supervision. It is the responsibility of the contractor to provide supervision for all federally sentenced juveniles in custody, 24 hours a day, seven days a week, 12 months a year. The contractor should reference the **Bureau Program Statement on Drug Free Workplace (Section 13)**, and **Part 1, Section C and G, American Correctional Association Standards for Juvenile Training Schools.**

- A. The contractor must have written personnel policies and a management system that are consistent with the juvenile justice system's philosophy of rehabilitation.
- B. The services required in this SOW must be performed by paid staff who have been properly screened and trained prior to having contact with juvenile offenders. In addition to paid staff, the Bureau requires the contractor to follow the ACA Standards on the use of unpaid individuals, such as mentors and/or volunteers, who have been approved by the CCM. Where appropriate, mentors should be utilized to work one-on-one with juveniles in achieving program goals. Similar functions may be provided to groups of juveniles by individual volunteers and volunteer groups. However, mentors and volunteers will not be used in lieu of direct supervision staff.
- Juvenile mentors, individual volunteers and volunteer groups play a very important role in the rehabilitative process for juvenile offenders. Therefore, written policies and procedures are to, with the approval of

the CCM, address recruitment, screening, background checks, and provisions for providing orientation and training to mentors and volunteers prior to their contact with juvenile offenders. Written policies and procedures must also require that mentors, volunteers, or volunteer groups provide services under the direction and guidance of paid facility staff members, not have contact with juveniles outside the secure facility except in their official capacity, and have no access to confidential juvenile files.

- The utilization of diverse cultural, spiritual, and educational groups, choirs, motivational speakers and positive role models is encouraged as deemed appropriate by the contractor and approved by the CCM.
- The contractor must have a written "Volunteer Manual" and a "Mentor Manual" which must be accessible to each mentor, volunteer, and volunteer group. Both manuals are subject to the approval of the CCM and CUSPO.
- The contractor must document and maintain records of activities involving mentors, volunteers and volunteer groups. Information pertaining to activities and volunteer hours must be incorporated into a monthly summary report and submitted to the CCM by the tenth working day of each month.

C. The contractor must make reference to **Part 1, Section C, American Correctional Association Standards for Juvenile Training Schools**, as the basis for providing written policies and procedures in the area of personnel. This includes, but is not limited to the following:

- Employee job descriptions, including title, experience and education requirements
- Employee Equal Opportunity
- Employee records and evaluations
- Employee standards of conduct
- Employee conditions for probationary and permanent employment
- Employee recruitment and retention plan
- Employee grievance process
- Employee orientation and training
- Employee screening and background

D. It is to be the responsibility of the contractor to ensure that the facility's Chief Executive/Director possesses, at

the very minimum, a baccalaureate degree in social or behavioral science with at least five years experience in the juvenile justice system, three years of which must be in a management position. The contractor is also responsible for identifying all key personnel with the following conditions:

- Key personnel must be full-time employees
- Key personnel must include the Chief Executive Officer/Facility Director, Assistant Director, the School Principal, the Food Service Manager, and the Director of Psychology.
- Key personnel must include at least one security supervisor
- Key personnel must include at least one casework supervisor

E. Any proposed changes of staff identified as key personnel must be submitted for approval to the CCM prior to employment. Copies of supporting documents, including at a minimum, the application, the applicant's qualifications and reference checks, along with any other relevant documentation, must accompany the request for approval. Key personnel are to demonstrate experience in working with a diverse juvenile offender population, to include Native Americans.

- Employees must possess a Social Security Card approved by the United States Social Security Administration and be a United States Citizen or lawful permanent resident.

F. STANDARDS OF CONDUCT. The contractor must develop written policy, procedures and practice, subsequently referred to as the Standards of Conduct, on employee conduct, ethics and responsibility. The contractor must notify employees of the Standards of Conduct and document this notification in their personnel files.

The contractor must require all employees to sign an acknowledgment that they have received and understand the Standards of Conduct and must cooperate fully by providing all pertinent information which they may have with any investigative authority. Full cooperation means and requires truthfully responding to all questions and providing a signed affidavit, if requested.

The contractor must also document this acknowledgment in each employee's personnel file.

Investigative authorities include, but are not limited to, investigations conducted by the Federal Bureau of Investigation, Office of the Inspector General, Office of Professional Responsibility, Bureau Office of Internal Affairs, Office of Personnel Management, Bureau Special Investigative Agent, Bureau Special Investigative Supervisor, Equal Employment Opportunity Investigator, Department of Labor, U.S. General Accounting Office, U.S. Marshals Service or any other agent or agency the CCM authorizes or directs to conduct an investigation.

At a minimum, the contractor must include in the "Standards of Conduct" the following:

- Employees must conduct themselves professionally and in a manner that creates and maintains respect for the contractor, the Bureau, the Department of Justice, and the U.S. Government.
- Employees must avoid any action that might result in, or create the appearance of, adversely affecting the confidence of the public in the integrity of the U.S. Government.
- Employees must uphold the ethical rules governing their professions, including complying with applicable licensing authority rules, unless they conflict with federal law.
- Employees must not use or possess illegal drugs or narcotics. They must not abuse any drugs or narcotics. Use of alcoholic beverages or being under the influence of alcohol while on duty, present in the facility, or immediately before reporting for duty is prohibited. An employee while on duty or in the facility is considered to be under the influence of alcohol if their blood alcohol content level is 0.02 percent or greater.
- Employees must not allow themselves to show partiality toward, or become emotionally, physically, sexually, or financially involved with juvenile offenders, former offenders, or the families of offenders or former offenders. Chaplains, psychologists, and psychiatrists may continue a previously established therapeutic relationship with a former offender in accordance with their respective codes of professional conduct and responsibility.

- Employees must not engage in, or allow another person to engage in, sexual behavior with a juvenile offender. Regardless of whether force is used or threatened, there can be no consensual sex between employees and offenders.
- Employees must not offer or give to an offender or a former offender or any member of his or her family, or to any person known to be associated with an offender or former offender, any article, favor, or service, which is not authorized in the performance of the employee's duties. Employees must not accept any gift, personal service, or favor from an offender or former offender or from anyone known to be associated with or related to an offender or former offender. This prohibition includes becoming involved with families or associates of any offender.
- Employees must not show favoritism or give preferential treatment to one juvenile offender, or a group of offenders, over another.
- Employees must not use profane, obscene, or otherwise abusive language when communicating with juvenile offenders, fellow employees, or others. Employees must conduct themselves in a manner that is not demeaning to offenders or fellow employees.
- Employees must remain fully alert and attentive during duty hours.
- Employees must not have any outside contact with an offender, ex-offender, offender's family or close associates, for a period of one year from the last day of the offender's sentence or supervision, whichever is later, except those activities that are an approved, integral part of the facility program and a part of the employee's job description.
- Employees must not engage in any conduct that is criminal in nature or which would bring discredit upon the contractor, the Bureau, Department of Justice or U.S. Government. Employees' conduct must be above reproach. It is expected that employees must obey, not only the letter of the law, but also the spirit of the law while engaged in personal or official activities. Should an employee be charged with, arrested for, or convicted of any felony or misdemeanor, that employee must immediately inform and provide a written report to

the facility director. The facility director must immediately report the incident to the CCM. Traffic violations resulting in fines less than \$150 must be exempt from this reporting requirement.

- Employees must not use brutality, physical violence, or intimidation toward juvenile offenders.
- Employees must not possess lethal weapons or weapons which may inflict personal injury in the facility or while on duty.

The contractor must not conduct an investigation of any misconduct allegation without the Bureau's approval. This includes questioning the subject of a misconduct allegation. The contractor must advise all employees that they are subject to Government investigation if an allegation is made concerning any interest of the Government.

- G. Any violation or attempted violation of the restrictions referred to above must be reported to the CCM by telephone immediately and in writing within 48 hours. Such reporting is to include proposed action to be taken by the contractor. Upon consultation with his/her supervisor, the CCM is to determine if the employee may continue to work with federal juveniles. Any failure to report a violation or take appropriate disciplinary action against contractor employees may subject the contractor to appropriate action, up to and including termination of the contract.
- H. The contractor must vouch potential employees through reference and employment checks. The contractor must notify proposed employees that a National Crime Information Center/National Law Enforcement Telecommunication System (NCIC/NLETS), fingerprint criminal records and other appropriate background checks will be processed by the Bureau to verify employment applications. Prospective employees may not begin working with federal juveniles prior to the initial and annual NCIC/NLETS clearance from the CCM. The granting of full approval of an employee will not occur until the government receives a response from the fingerprint or other background checks. The contractor must not employ any person under supervision or jurisdiction of any parole, probation or correctional authority. Persons with previous criminal convictions, but who are not under supervision, may be considered for employment, however, the Bureau reserves the right of refusal in such cases.



- I. It is the responsibility of the contractor to provide a safe environment for all employees and juveniles in custody. The contractor must comply with all provisions of the Convict Labor Act, and of Title VII of the Civil Rights Act of 1964, as amended.

#### STAFFING PATTERNS

Recognizing that there are differences between adult and juvenile staffing patterns, the contractor is to provide written policies, procedures and plan that clearly define the system(s) to ensure that all federal juvenile offenders in custody are supervised, 24 hours a day, seven days a week, twelve months a year. The staffing relief factor must be included in this plan and must ensure, at the very minimum, one direct supervision staff per eight juveniles during waking hours and one direct supervision staff per 16 juveniles during sleeping hours pursuant to DOJ standard. The contractor's Operations Manual must include:

- Direct supervision staff to juvenile offender ratio for each shift
  - Key personnel responsible for maintaining adequate staffing for each shift
  - Case manager to juvenile offender ratio
  - Organizational chart outlining all department heads and their areas of responsibility
- A. The contractor must ensure that administrative, clerical, maintenance or other paid staff, whose primary function is not to provide direct supervision to juvenile offenders, are not included in the overall staffing pattern.
- B. The contractor must seek the approval of the CCM for any proposed changes to a previously approved staffing pattern.

#### TRAINING

The contractor must provide written policies and procedures that clearly describe the facility's staff development and training program for all paid employees including administrators, administrative staff, support staff and direct supervision staff. Reference is made to Part 1, Section D, American Correctional Association Standards for Juvenile Training Schools as a guide.

- A. The contractor's staff development and training program must be designed to satisfactorily meet the contractor's mission

and the Bureau's goal of ensuring that each federal juvenile offender in custody receives at least 50 hours of quality programming per week from qualified and properly trained staff, in an environment conducive to rehabilitation. The contractor's staff development and training program must be culturally- and gender-sensitive, and should focus on issues unique to the juvenile offender population.

- B. The contractor must maintain a training manual at the facility that documents the credentials and qualifications of all trainers. At the very minimum, the contractor is to ensure that each new employee receive 40 documented hours of pre service training. A copy of the curriculum must be kept on file and subject to review by the CCM.
- C. The contractor must also submit a plan for providing annual training to all paid staff. The training should enhance the employee's ability to perform their responsibilities. At the very minimum, the contractor is to provide clerical and support staff with 16 hours of annual training each year. Direct supervision staff must receive, at the very minimum 24 hours of annual training. The contractor is responsible for developing the training agenda according to need. However, the Bureau requires that the following training topics be included during annual training:
  - CPR/First Aid
  - Emergency Fire Evacuation
  - Suicide Prevention and Intervention
  - Use of Force Policies
  - Sexual Abuse and Prevention
  - Mental Health Awareness
- D. The contractor must provide written policies and procedures and a plan outlining the potential use of **Physical, Mechanical, Chemical and other mental health interventions, with special emphasis on Suicide interventions.** These policies and procedures must have the approval of the CCM and be consistent with good judgement and sound correctional practices. The contractor is to reference **Part 3, Section A of the American Correctional Association Standards for Juvenile Training Schools** in conjunction with the Program Statement on **Use of Force and Application of Restraints on Inmates.**
- E. It is the responsibility of the contractor to ensure that direct supervision staff are properly trained in crisis assessment skills, crisis intervention, and communication

skills. Such training, including the use of **Physical, Mechanical, Chemical and Suicide Interventions** is to be provided prior to an employee's contact with a juvenile offender. The contractor's policies and procedures are to identify the types of interventions allowed, person(s) authorized to use such interventions and circumstances that may prompt such use.

- F. The contractor must have a system in place for documenting and informing the CCM of all incidents involving **Physical, Mechanical, Chemical, and Suicide Interventions**.
- G. The contractor will be required to pay for training and related travel when the contractor's performance necessitates the Bureau to provide training to correct findings.
- H. The community corrections staff (CCM), regional office staff (MCA and CCRA), and Central Office staff of the Bureau may provide instructions and guidance during the life of the contract to ensure that the specifications of the contract and expectations of the Bureau are met.
- I. The contractor must submit a comprehensive Juvenile Suicide Prevention and Intervention Policy. The policy and procedures must have been reviewed and approved by a licensed mental health professional. A copy of the policy and plan must be submitted to the CCM. The CCM must receive written notification of any policy changes.
- J. The contractor must have on file a copy of the Bureau Program Statement 5216.05, **Juvenile Justice and Delinquency Prevention Act (1974)**, and a copy of **The Civil Rights of Institutionalized Person's Act (CRIPA)**. It is the responsibility of the contractor to make these materials available to all key personnel and other staff, as deemed necessary.

## **FACILITY**

The Bureau's requirements for juvenile housing under this SOW are consistent with **Part 2, Section C, American Correctional Association Standards for Juvenile Training Schools**. Therefore, the contractor must reference this section and submit a plan for meeting these requirements. In addition to meeting applicable federal, state and local building codes, the plan must address the following:

- Appropriate square footage for living units, sleeping areas, and day rooms
  - Air conditioning
  - Temperature control
  - Lighting
  - Acoustics
  - Furnishings
  - Wash Basins
  - Showers
  - Secure storage space
  - Housing for the handicapped
  - Special Management Housing
- A. Requirements for utilization of **Special Management Housing** will be addressed later in this SOW. However, in cases where the facility houses female and male juvenile offenders, the contractor must submit a plan addressing coeducational activities, and separate sleeping areas.
- B. The facility must dedicate indoor and outdoor space for recreation, and structured activities to be utilized by all federal juvenile offenders, male and female.

## **LIFE SAFETY**

The contractor must ensure that requirements in the area of life safety are met at all times. In conjunction with the **Occupational Safety and Health Act of 1970, all codes and regulations associated with 29 CFR 1910 and 1926, and Part 3, Section B, American Correctional Association Standards for Juvenile Training Schools**, the contractor must submit a plan that ensures that the facility complies with all local, state, and national health, safety, environmental, and building codes. In the event local, state, and national codes conflict, the most stringent will apply.

- The contractor must ensure that the facility is inspected in accordance with local and state fire building codes by a

representative of the local or state authority having jurisdiction.

- The contractor must establish a comprehensive Environmental/Pollution Prevention Program designed to use source reduction techniques and sound recycling practices in accordance with local ordinances. If there are no local ordinances in place, the contractor must develop an Environmental Awareness Program available to all juveniles.

### **ELECTRICAL SAFETY**

**The National Electric Code and General Industry Standards (OSHA 1910.304)** will be the measure used to assess compliance with electrical standards. It is important for the contractor to acknowledge the following:

- A. Ground Fault Circuit Interrupters (GFCIs) are to be required on all 110 Volt, single phase outlets in the laundry facility if the washing machines are exposed to the weather or wet areas. In addition, if laundry areas have a water source (utilities sink) within six feet of receptacles, these receptacles are required to be protected.
  - GFCI wiring must be 14 gauge with ground. Standard wiring is usually 12 gauge with ground.
- B. Electrical panel box covers must contain an accurate, up-to-date directory. The means of disconnecting electrical equipment must be marked as to its use, unless readily apparent.
- C. Extension cords must not be used in lieu of hard or permanent wiring.
- D. Wiring/receptacles must be grounded - no obsolete 2-wire outlets may be used, unless approved by the Bureau.
- E. Floor space heaters and hot water "stringers" are considered unsafe from the standpoint of fire safety and must not be permitted.
- F. Guard grids on oscillating or floor fans cannot exceed .625 centimeter (1/4") for safety reasons.
- G. The following electrical safety standards must apply:
  - 1. Damaged or frayed wiring cannot be taped or spliced.

2. Empty light fixture or fuse sockets, wiring, etc., may not be exposed or unprotected. Missing knock-outs, circuit breakers, or other openings in electrical equipment must be effectively enclosed to prevent exposure to live or energized ports.
3. The use of multi-outlet electrical adapter plugs is prohibited.
4. Damaged plate covers, switches, outlets, etc., must be replaced.
5. The use of electrical tape to repair cut or damaged cords or cables is prohibited. Cords and cables must be effectively repaired by the proper means (i.e., use of heat shrink tubing, reinstallation of cords or cables to equipment, etc.).

#### **TOXIC, CAUSTIC, AND FLAMMABLE MATERIALS**

The contractor must establish a written program for the storage, issuance, handling, and accountability of flammable liquids, hazardous chemicals, toxic, and caustic materials used within the facility. Reference is made to Part 3, Section B, American Correctional Association Standards for Juvenile Training Schools and the Environmental Protection Agency (EPA) and General Industry Standards (OSHA 29 CFR 1910) for guidance and direction.

All personnel must be trained in the proper handling and use of all toxic, caustic, and flammable materials within two weeks of their initial employment, or whenever a new hazard is introduced into their work area. Toxic, caustic, and flammable materials are defined as those having "signal words" such as POISON, DANGER, and/or WARNING on the label. Such materials require special handling, control, and accountability. All training is to be documented for compliance. The contractor is to acknowledge:

- A. Flammable materials such as gasoline, kerosene, and paint thinner are to be stored outside of the main structure or in approved Department of Transportation safety containers located within the facility. Flammable materials are classified as a Class I material having a flash point of -17.8 - 37.8 degrees Celsius (0-100 degrees Fahrenheit).
- B. Toxic, caustic, and flammable materials may not be stored in sleeping rooms, furnace areas, kitchens, or in close proximity to stored foodstuff.

- C. Materials are to be properly labeled, stored in the original container, and maintained in a secure area. Concentrated materials may be used by the general population once the product has been diluted. The container is to be labeled and marked "Diluted."
- D. Inventory cards or an approved method of accountability must be maintained on all toxic, caustic, and flammable materials.
- E. Propane gas and other pressurized cylinders, both full and empty, are to be strapped, chained or stored in the upright position.
- F. Aerosol containers are considered pressurized cylinders. They need to be controlled and accountability maintained.
- G. The "Right-to-Know-Law" requires that Material Safety Data Sheets (MSDS) be maintained on all toxic, caustic, and flammable materials. These data sheets will list the characteristics and chemistry of the product, flash point, and first aid antidote in case of ingestion or exposure.
- H. Personal protective clothing is to be furnished and utilized in accordance with the MSDS.

#### **PEST CONTROL**

The EPA has set standards for pesticide handling and use. These include such areas as record keeping, storage and disposal procedures, filling and mixing methods, etc. All of the standards are designed to help make pesticide use safer for people and the environment.

State laws also govern pesticide use. Both federal and state laws and regulations apply to any person using pesticides within a state. In some cases, it may be feasible for the contractor to contract these services through a licensed exterminator. However, the best method of pest control is the establishment and maintenance of good housekeeping practices.

- A. The contractor must provide for vermin and pest control and disposal.
- B. Proper control and accountability of pesticides and rodenticide is to be maintained.

- Pesticides are to be stored in a cool, dry, and well-ventilated room which can be secured and locked. All pesticides are to be stored in their original containers bearing the proper label of the ingredients.
  - All empty pesticide containers are to be triple rinsed prior to the disposal of the container. Disposable aerosol containers are not to have any "charge" remaining in them at the time of their disposal.
- C. Screens on all open windows within food preparation and dining areas are to be in place and in good condition.
- D. Trash and garbage removal is required. Containers and collecting areas are to be free of accumulated trash and debris.

#### **SANITATION AND HYGIENE**

The Bureau's requirements for Sanitation and Hygiene are consistent with **Part 4, Section B, American Correctional Association Standards for Juvenile Training Schools.** The contractor must reference this section and submit policies and procedures that address, at the very minimum, the following:

1. Weekly facility sanitation inspections.
2. Yearly inspection by federal, state, and/or local sanitation and health officials.
3. A housekeeping plan for all areas of the facility outlining responsibilities for staff and juveniles.
4. Vermin and pest control plan.
5. Hair care service that is culturally-sensitive, while also considering the security requirements of the facility. Such service should be provided in a separate area for hygiene reasons.
6. Plan for the lawful disposal of liquid and solid waste.
7. Plan that will provide for the issuance and accountability of suitable, clean bedding and towels for each federal juvenile. This includes protective clothing for juveniles participating in work assignments. For those in the custody of the Bureau, the contractor may include the provision of clothing in



the per diem rate, or may establish a blanket purchase agreement with a local company. Prior approval by the CCM of a specific list of items to be purchased is required before purchases may be made under the blanket agreement. The contractor is to contact the USPO responsible for juveniles under supervision.

The contractor is to provide documentation that the facility's potable water source and supply, whether owned and operated by the public water department or the facility, is certified by an independent, outside source to be in compliance with jurisdictional laws and regulations.

### **SECURITY AND CONTROL**

The contractor's policies and procedures related to facility security and control are to be written and included as part of the facility's Operations Manual, which is subject to review by the CCM. Reference is made to **Part 3, Section A, American Correctional Association Standards for Juvenile Training Schools** as a guide.

- A. The contractor is to ensure that the facility's perimeter is controlled by an appropriate means to ensure that juvenile offenders remain within the perimeter and to prevent access by the general public without the appropriate authorization. The contractor is to maintain man barrier wire on the perimeter fence(s). Perimeter security may be enhanced by electronic surveillance, but should not be considered a substitute for direct supervision of juveniles. The contractor may request a variance for perimeter security; however, the Bureau reserves the right to determine what constitutes an appropriate perimeter security system.
- B. The contractor must provide a central control, staffed 24 hours a day, seven days a week, twelve months a year. The Control Room will maintain all communication, key and lock control, and security aspects of the facility. The area will be the focal point of the facility to maintain order and security. Juvenile offenders will not be permitted in this area. Entrance and exit to the facility is to be by sally-port arrangement.
- C. The contractor must provide written policies and procedures for regulating and accounting for juvenile offender movement. A current and accurate headcount will be available at all times.

- D. The contractor must have written policies and procedures to ensure that the facility has a system in place for videotaping all physical restraints of juveniles. All incidents of physical and mechanical restraint will be documented on an incident report and submitted to the CCM for review. It is the responsibility of facility staff to notify the CCM immediately by telephone or fax of such incidents. A written report is to be submitted to the CCM within 24 hours after the incident. In addition to reviewing the incident report, the CCM may also request the videotape for review. Videotapes of physical restraints must be kept on file for one year. The Bureau reserves the right to request an after-action report on any significant incident.
- E. Regardless of the terminology used to describe behavioral interventions (e.g., "use of force," "physical and mechanical restraint," "physical intervention," "chemical agents," "mechanical intervention," "four-point restraint," "suicide intervention"), the contractor must submit written policies and procedures involving any such incident and must clearly outline the criteria for using such interventions. **Under no circumstances is an intervention justifiable as punishment.** The written policies and procedures should include guidelines for ensuring that a juvenile is examined by a physician, physician assistant, nurse, or other designated medical personnel following such incidents. The contractor's written policies and procedures must be reviewed and approved by a doctoral-level psychologist and physician to ensure that proper protocols are in place. In addition, the Bureau reserves the right to refer any policy to Bureau medical and mental health professionals for review at any time.
- F. Written policies and procedures are to be included in the Operation's Manual as to the storage of chemical agents. No chemical agents are to be stored in or near a juvenile offender housing area, or any other areas where juveniles may be present. Chemical agents are to be secured in a depository that is not accessible to juveniles. Chemical agents are to be inventoried on a monthly basis. The contractor is to review policies and procedures on a regular basis and advise the CCM of any policy changes.
- G. The contractor is prohibited from using firearms, CS Gas, oil-based chemical agents, and peer-assisted restraints.
- H. The contractor must provide for regular searches of the facility and juvenile offenders to control contraband. A

system will be established to ensure that contraband is properly disposed of, or properly marked and stored as evidence. Documentation of these searches is to be maintained for one year.

- I. The contractor must provide written policies and procedures that provide for manual or instrument inspection of body cavities, or pat searches. Body cavity searches must only be conducted upon authorization of the CEO, and are to be conducted in private by trained health care personnel only. The contractor will notify the CCM of any cavity searches within 24 hours. The contractor must ensure that policies and procedures are reviewed for appropriateness by a doctoral-level psychologist and physician prior to its implementation on federal juvenile offenders, male and female.
- J. The contractor must have a documented quality control plan in place which ensures that the facility's security systems are operable at all times. Facility inspections must be documented and available for review.
- K. The contractor must provide written policies and procedures outlining a plan of action in the event of an escape, major disturbance, threats, adverse weather, or any incidents requiring mass evacuation. These plans are to be made available to all staff and reviewed and updated at least annually. Documentation is to be maintained for Bureau review.

#### **SUPERVISION OF JUVENILE OFFENDERS**

The contractor's policies and procedures must specify a strategy for the **Direct Supervision** of juveniles that is different from supervision offered to adults. The contractor is to recognize that the main difference is found in the lower ratio of staff to juveniles.

- A. The responsibilities of Direct Supervision staff involve more observation, interaction and counseling with juveniles. Therefore, no offender or group of juvenile offenders is to be given control or authority over other juvenile offenders. As stated previously, peer-assisted restraints are prohibited.
- B. The contractor must provide written policy that ensures that the Facility Director or Designated Facility Administrator documents weekly visits to the facility's living, security,

educational, infirmary (if applicable), and structured activity areas.

- C. The contractor must be responsible in ensuring that all staff are kept informed of written policies and procedures pertaining to the safety of the public, juveniles, staff, mentors and volunteers.

### **JUVENILE OFFENDER DISCIPLINE**

The contractor must refer to Part 3, Section C and E, American Correctional Association Standards for Training Schools and the Program Statement on **Discipline and Special Housing Units** as a guide for providing written policies and procedures related to juvenile discipline. The contractor may also make reference to the Juvenile Justice Delinquency and Prevention Act (1974), the Civil Rights of Institutionalized Person's Act (CRIPA), Bureau Prohibited Acts, and the Federal Sentencing Reform Act of the Comprehensive Crime Act for additional guidance. The contractor's written policies and procedures regarding juvenile discipline must clearly define the differences between an offender's privileges and basic constitutional rights guaranteed by the United States Constitution. The contractor must balance public protection, victim awareness and juvenile accountability. Written policies and procedures must address Rules and Discipline, Criminal Violations, Disciplinary Reports, Disciplinary Hearings, Hearing Decisions and Appeals Process.

- A. Although a contractor may impose a system of graduated sanctions for rule violations by federal juveniles, written policies and procedures must ensure that corporal punishment as a means for juvenile discipline is strictly prohibited. A graduated sanctions disciplinary system requires that the discipline be proportional to the violation committed. For minor rule violations, such sanctions may range from a verbal and/or written reprimand, to a loss of privileges, to room restriction. Placement in a secure unit may be warranted for major violations. Serious and/or chronic acts of aggression toward self or others may require placement in a self-contained special management housing unit. Regardless of the violation committed by a juvenile offender, "repetitive make-work," "neglect", "segregation without cause", "food deprivation" and improper physical and mechanical restraint of juvenile is prohibited. The improper use of any chemical agent on juveniles is prohibited. The contractor's Juvenile Discipline Policy must be included in the facility's Operations Manual for

review by the CCM. The CCM must receive written notification of all policy changes.

- B. The contractor must provide a copy of the contractor's Rules of Conduct to each new juvenile offender upon arrival at the facility. This must be documented. A translated copy of the Rules of Conduct must be provided to non-English speaking juveniles.
- C. The contractor must submit written policies and procedures ensuring the following are included:
  - Guidelines for rewarding positive behavior
  - Guidelines for addressing and resolving minor, major and serious juvenile misbehavior
  - Guidelines for informing the juvenile of sanctions and providing an opportunity to respond to allegations
  - Guidelines for the use of room restriction, secure unit and special management housing
  - Guidelines for allowing all juvenile to write statements involving special incidents
- D. The contractor must immediately notify the CCM by telephone or fax in the event that a federal juvenile is referred to a local law enforcement agency for prosecution resulting from an allegation of an act covered by criminal law.
- E. The contractor must provide written policies and procedures regarding disciplinary reports. If the contractor utilizes a graduated sanctions approach, a distinction must be made between major and minor rule violations, privileges and constitutional rights. It is the responsibility of the contractor to ensure that "due process" requirements are an integral part of the sanctions process. In cases where a juvenile is placed on room restriction, as a result of a minor rule violation or as a "cooling off period," the time period must not exceed one hour in an unlocked room. A juvenile charged with a major violation may be referred and assessed for possible placement in a secure unit. In major violation instances, the juvenile must receive a written copy of alleged rule violation within 24 hours of the incident. In such cases, a juvenile may be confined to a secure unit for a period up to 24 hours. Within the 24 hour period, all juveniles must be seen by a licensed psychologist or psychiatrist, and a physician to ensure behavior is not the result of mental illness or a medical condition. If confinement goes beyond 24 hours, it is the responsibility of the facility administrator to review this continued confinement every 24 hours. Placement in the secure unit normally should not exceed five consecutive

days. If confinement is required beyond five consecutive days, the facility administrator must provide written justification for continued confinement, inform the CCM, and ensure the juvenile is seen by a licensed psychologist or psychiatrist, and physician to ensure that the continued behavior is not a result of mental and/or medical health deterioration. When a juvenile has been charged with a serious and/or chronic act of aggression toward self or others, placement in a special management housing unit may be considered to ensure the safety of the juvenile, other juveniles, and to maintain the security of the facility. Staff observation in all instances is required.

- F. The contractor must provide written policies and procedures regarding disciplinary hearings. At a minimum, the policy must provide that a juvenile charged with a major rule violation is scheduled for a disciplinary hearing as soon as practicable, but no later than seven days after the alleged violation, excluding weekends and holidays. The CCM, and with supervision cases the USPO, must be informed of all scheduled hearings, decisions, dispositions and appeals. It is the responsibility of the contractor to discuss the recommended sanctions with the CCM and/or USPO before conducting a disciplinary hearing.
- G. The contractor must provide written policies and procedures regarding the disciplinary hearing process. The disciplinary hearing must be conducted by an impartial person or panel of persons. The contractor must ensure that a record of the entire hearing process is made and maintained for at least six months. The following guidelines must be included in the policy:
- A disciplinary hearing may be held within 24 hours of the alleged incident with the written consent of the juvenile.
  - The juvenile must have the opportunity to be present at his/her hearing, unless he/she waives that right in writing or his/her behavior does not allow for his/her presence. In all cases, the reason for the absence from the hearing must be documented.
  - The juvenile must have the opportunity to make a statement and present documentary evidence at the hearing. He/she may request witnesses on his/her behalf. Denied requests must be stated in writing.
  - The juvenile must have the opportunity to request the services of any staff member to represent him/her at

the disciplinary hearing and to question relevant witnesses.

- H. The contractor must submit written policies and procedures that document the results of all disciplinary hearings involving federal juvenile offenders. The recorded proceedings, along with the supporting documentation, must include the decision, the disposition, and summary of the findings.
- I. The contractor must submit written policies and procedures that grant juveniles the right to appeal decisions of the disciplinary committee to the Facility Administrator or designee. Juveniles have up to 15 days after the receipt of the hearing decision to submit an appeal. The appeal must be decided within 30 days of its receipt, and the juvenile is promptly notified of the results.
- J. Regardless of the terminology used to describe the separation of juveniles from general population and their placement into special housing (i.e., "security," "special housing unit," "disciplinary segregation," "segregation", "crisis prevention unit"), the contractor must submit policies and procedures that outline the criteria for their removal and reintegration back into the general population. In cases where juveniles present serious, chronic, and/or high-risk assaultive behavior that present a high level of danger to themselves or others, a contractor may consider the use of a special management unit which separates the juvenile from the general population and allows for more individualized attention over a longer period of time in an effort to achieve a correctional objective. Therefore, such housing, whether it is designated as a secure and/or special management housing must not occupy the same living, educational, visitation, or other structured activity space that will disrupt the activities of the general population. Policies related to the use of special management housing must include provisions for the following:
  - An immediate referral for placement in special management housing may be initiated by the facility administrator or shift supervisor when necessary to protect the juvenile from self or others. The placement in special management must be reviewed within 72 hours by the appropriate authority.
  - An Interdisciplinary Treatment Team which develops a Special Behavior Management Program Plan that encourages self-discipline through a behavior modification system of rewarding positive behavior.

- The time a juvenile spends in special management housing is proportionate to the offense committed and the juvenile's progress in achieving goals and objectives.
- Juveniles in special management housing must be checked by staff at least every 15 minutes and must be visited at least once each day by administrative, clinical, social work, and medical personnel, and spiritual leaders.
- A log is maintained to document who authorized the special management housing confinement, persons visiting the juvenile, the person authorizing release from security confinement, and time of release.
- Juveniles in special management housing must have a room, food, clothing, exercise, education, medical, psychological and other services comparable to juveniles in general population. Where such services are denied, the facility must provide written justification to the CCM.

#### **ADMINISTRATIVE REMEDY PROCEDURES**

The contractor must submit written policies and procedures that provide for "grievance resolution" or "administrative remedy" in response to a juvenile's concerns. The contractor should reference the Bureau's Program Statement on the **Administrative Remedy Program**, augmented by **Part 3, Section D of American Correctional Association Standards for Juvenile Training Schools**, as a guide for ensuring that juveniles are aware of their right to formally present their issues of concern to the facility director and the appropriate Bureau staff for formal or informal resolution within the time frames outlined in Bureau policy and ACA standards.



## REFERRAL AND INTAKE PROCESSING

The contractor must have written policies and procedures governing referral and intake of juveniles. All referrals must be processed through the CCM. For guidance on the proper intake and orientation process, the contractor should reference: **Part 5, Section A, American Correctional Association Standards for Juvenile Training Schools; Title 18, USC 5037;** and the Bureau Program Statements on **Juvenile Delinquents, Juvenile Justice and Delinquency Prevention Act; Receiving and Discharge Manual; Admission and Orientation Program; Suicide Prevention Program; FBI Forms, Submission to the FBI; and Central Inmate Monitoring Manual.** The contractor must adhere to applicable local, state and federal laws in maintaining the confidentiality of any information gathered, to include:

- Appropriate notifications
  - Transfers
  - Execution of the Judgment and Commitment (J & C) Order
  - Juvenile case files
  - Juvenile fingerprinting and photographing
  - Initial Intake Form
- A. The Contractor must execute the Judgment and Commitment (J & C) Order upon arrival of juvenile committed directly from court to serve a sentence. Staff must sign and date the original of the J & C and return it in a timely manner to the U.S. Marshal (USM) of the sentencing district, with a copy to the CCM indicating the date the original was mailed to the USM. The Contractor must retain a copy in the juvenile's file.
- B. Fingerprints and photographs of a juvenile that has not attained his/her 18<sup>th</sup> birthday, or is sentenced under the JJDPa, may not be used as a method of exchanging arrest information between law enforcement agencies. Contract facility staff must submit a juvenile's fingerprints to the Federal Bureau of Investigation (FBI) on a fingerprint card (FD-249), upon admission to the facility with a notation in the "additional information" block that "The subject has been adjudicated a juvenile delinquent under 18 U.S.C. 5037", or "The subject has not attained his/her 18<sup>th</sup> birthday but was sentenced as an adult." The FBI will furnish the FBI number and the arrest history, if one exists, and will return the fingerprint card. Note the discussion of this in the Bureau Program Statement 5216.05, **Juvenile Delinquents, Juvenile Justice and Delinquency Prevention Act.**

1. The Contractor will use an FBI fingerprint card and will take three sets of fingerprints on Direct Court Commitments and two sets on Supervision Cases. Two sets of fingerprints on Direct Court Commitments and one set on Supervision Cases will be forwarded to the CCM. The remaining set of fingerprints will be kept in the juvenile's file for identification purposes only.
2. If the Contractor does not have staff trained in fingerprinting procedures, they may make arrangements with a local law enforcement agency. Contractor staff will accompany juveniles when prints are taken. Contractor staff may contact the CCM to assist in arranging for fingerprints.
3. Facilities operated by state correctional or parole agencies should forward fingerprint cards to the Federal Bureau of Investigation in accordance with their own practices.

### **SERVICES**

The contractor must submit written policies and procedures regarding the delivery of services to federal juvenile offenders. The contractor must reference **Part 4, Sections A and C, American Correctional Association Standards for Training Schools**. For further guidance in addressing service delivery, the contractor should reference the following Bureau Program Statements and Technical Reference Manuals (TRM):

- **Health Services Manual (HSM)**
- **Psychiatric Treatment and Medication**
- **Health Promotion and Disease Prevention for Inmates**
- **Infectious Disease Management**
- **Pharmacy Technical Reference Manual**
- **Suicide Prevention Program**
- **Psychology Services Manual**

#### **A. Food**

1. The contractor must ensure that juveniles are provided three nutritionally balanced meals per day, seven days per week, 12 months per year, at no cost to the juvenile. Food preparation is the responsibility of the contractor, not the juvenile.
2. The contractor must ensure appropriate food service management through its own food service program or

through a subcontract with a food service provider. If the contractor sub-contracts meal service, a copy of their contractual agreement must be provided to the Bureau with the following information:

- Evidence the establishment is a full-service organization, capable of providing breakfast, lunch, and dinner meals.
  - Evidence the establishment has a valid state or local license, certificate or permit, as applicable, to operate, prepare and/or serve food.
  - Evidence the establishment meets all state and/or local sanitation and health codes.
3. The contractor must submit written policies and procedures that ensure that a registered dietitian or nutritionist reviews on an annual basis and approves the nutritional value of the menu. All meals must meet the **Recommended Dietary Allowances** and the **Dietary Guidelines** as set by the current version of the **American Dietetic Association**. A copy of a sample menu, including portion size, must be submitted with the contractor's initial proposal. The approved menu must be appropriately posted.
- Provisions must be made to accommodate juveniles who are not available at regularly scheduled meal times.
  - Arrangements for special diets required by religious preference, a physician or dentist must be provided on an as-needed basis.

If the contractor prepares its own food for service, the facility must employ a full-time food service manager experienced in food service management. This employee should have the resources, authority, and responsibility to provide the facility complete food service while ensuring compliance with all federal, state and local licensing, attire, fire safety, sanitation, inspections and food handling requirements.

#### **B. Medical, Mental and Dental**

1. The contractor must provide access to the full range of required health, medical, dental, mental health, pharmaceutical, and record keeping services for all federally sentenced juvenile offenders. Reference is made to the current edition of **National Commission of Correctional Health Care's Standards for Health Services in Prisons, Part 4, Section C, American**

**Correctional Association Standards for Juvenile Training Schools**, and the Bureau's **Health Services Manual** as a guide in developing policies and procedures. The contractor should also reference **Chapter 2** of this SOW for discussion of the Bureau's financial responsibility for Direct Commitments. The contractor must obtain instructions from the USPO for emergency medical care and provision of other medical and dental services for those offenders not in the custody of the Bureau. The contractor is to submit policies and procedures that include the following requirements:

- Provisions that all juveniles receive an initial medical and mental health screening within 24 hours of arrival at the facility.
  - Provisions that all juveniles receive a complete physical and mental health examination within 14 days of arrival at the facility.
  - Provisions that all direct care staff at the facility are trained in emergency first aid procedures, including cardiopulmonary resuscitation (CPR).
  - Provisions with a licensed general hospital, private physician or clinic that ensures emergency medical and psychiatric services to juveniles, 24 hours a day seven days a week, 12 months a year.
  - Provisions for sick call to be conducted seven days a week, 12 months a year.
  - Provisions for 24 hour staff supervision for federal juvenile offenders if they are confined to hospitals for treatment.
  - Provisions for Human Immunodeficiency Virus (HIV) education program for staff and juveniles.
2. The contractor must provide mental health services for juvenile offenders in need of such services. Such services should include, but should not be limited to psychologists, psychiatrists, and other mental health professionals who meet educational and licensed/certification criteria specified by their respective professional discipline. Through the CCM, the Bureau's Regional Health Services Administrator will review and make the final decision regarding the requests for reimbursement for local care.
3. Suicide Prevention and Intervention: In consultation with the facility's qualified mental health professional, an approved comprehensive suicide prevention and intervention plan must be submitted in

response to the request for proposal. This suicide prevention plan must reference policies and procedures for providing staff with guidelines for the management of potentially suicidal juvenile offenders 24 hours a day, seven days a week, 12 months a year. At the very minimum this plan should include:

- Provisions for pre-service and annual training of all staff in identifying potentially suicidal juvenile offenders and implementing appropriate interventions.
  - Procedures that will ensure that properly trained staff provides direct and continuous observation and supervision of all federal juveniles on "suicide alert," "one on one," or "suicide watch". **Under no circumstances will a juvenile assume this responsibility.**
  - The comprehensive suicide prevention and intervention plan must be included in the facility's Operations Manuals. A copy must be submitted to CCM. It is the responsibility of the contractor to review, at a minimum, once a year, the facility's policies and procedures on suicide prevention and intervention. The CCM must receive written notification of any changes to policy.
4. It is the responsibility of the contractor to ensure that written policies and procedures, which have been duly reviewed and approved by a psychologist and physician, describe guidelines for the use of all forms of restraints, chemical agents, cavity searches, special housing, and suicide prevention and intervention.
5. It is the responsibility of the contractor to provide routine and emergency dental care and hygiene under the direction of a licensed dentist. All dental personnel providing services must be licensed, registered, or certified as required by federal and state law.

C. **Mail**

1. The contractor must submit written policies and procedures governing juvenile offender correspondence which incorporate the federal requirements for **Special Mail** delineated in the Bureau's **Mail Management Manual**. The contractor should also reference **Part 5, Section H, American Correctional Standards for Juvenile Training Schools** for additional guidance.

2. There is no limit on the volume of mail a juvenile offender may send or receive, except where there is clear and convincing evidence to justify such a limit. All restrictions on the receipt of incoming mail will be approved by the CCM.
3. Incoming and outgoing mail will not be held in excess of twenty-four (24) hours, except on weekends and holidays.
4. Inspection of juvenile offender mail to intercept cash, checks, money orders and contraband will be performed. If contraband is seized, a receipt identifying the item seized will be given to the sender and the addressee. Cash or money orders will be deposited in the juvenile's account and the juvenile will be notified.
5. Written policy and procedures must govern the disposition of juvenile offender contraband.
6. Juvenile offenders must be permitted to send sealed letters from the facility.
7. Written policy and procedures will be in place to ensure that, once the juvenile offender has been released or transferred, all mail is forwarded to the new address. Mail will not be returned to the sender.
8. The contractor must provide the postage for mailing letters to enable the juvenile to maintain community ties and legal matters.

#### **JUVENILE OFFENDER RIGHTS**

The contractor must submit policies and procedures that preserve facility safety and protects the constitutional rights of juveniles. Reference is made to **Part 3, Section D, American Correctional Association Standards for Juvenile Training Schools** as a guide in addressing the following key essential elements and guarantees that all federal juvenile offenders will have:

- A. Full access to the courts without reprisals or penalties in seeking judicial relief.
- B. Access to attorneys, to include confidential contact by telephone, uncensored mail and visits.

- C. Access to legal assistance from law library facilities or from persons with legal training.
- D. Access to writing materials and supplies and other services related to legal matters.
- E. Protection from personal abuse, corporal punishment, personal injury, disease, property damage, and harassment.
- F. Access to recreational opportunities, including outdoor recreation.
- G. Reasonable freedom in personal grooming.
- H. The right to practice their religion, subject only to the limitations necessary to maintain facility security and order.
- I. Visitation, subject only to the limitations necessary to maintain facility security and order.
- J. The right to correspond with persons or organizations subject only to the limitations necessary to maintain facility security, order, and the prevention of further criminal activity.
- K. Equal access to programs and services for male and female juveniles in co-correctional facilities.
- L. Reasonable access to the general public through the communications media, subject only to the limitations necessary to maintain facility order and security and protect the juveniles' rights.

#### **CASE MANAGEMENT**

The contractor must submit written policies and procedures that address Intake, Orientation and Assessments. The contractor may reference **American Correctional Association Standards for Training Schools**, specifically **Part Five, Sections B and C**, and the Bureau Program Statement on **Classification and Program Review of Inmates** as a guide in developing a plan. Additionally, the contractor must ensure that the following guidelines are followed:

- A. The SOW requires one case manager for every 25 juveniles. Case management staff will review file materials and conduct an in-person interview on all commitments to determine:

1. Appropriate commitment - to determine proper execution of Judgement & Commitment Order.
  2. Security assessment - to determine appropriate placement. A custody review will be performed in all questionable cases, and the results promptly referred to the CCM.
  3. Medical/Mental/Dental/assessment - to determine immediate and long-term needs.
- B. The contractor must provide each offender with a program orientation and a handbook that outlines at least:
- programmatic expectations
  - mail
  - visitation
  - program description
  - grievance procedures
  - telephone
  - facility sanctions

The facility's discipline policy will be discussed with each juvenile offender, and the juvenile offender will sign for receipt copies of the Prohibited Acts and Rules and Regulations.

- C. The contractor must submit written policies and procedures that complies with the Bureau requirement that a complete assessment of each juvenile be conducted within two weeks of the juvenile's arrival at the facility. The assessments are to serve as the basis for the development of an Individual Program Plan (IPP). Each juvenile should be assessed by properly trained and qualified staff using appropriate assessment instruments to determine treatment needs. Assessments and Individualized Program Plans should particularly address the needs of juveniles with histories of:
- Learning disabilities
  - Physical disabilities
  - Substance abuse
  - Chemical dependency
  - Sexual and physical abuse
  - Mental illness
  - Sex offenses
  - Violent offenses
  - Mental retardation
  - Emotional disturbance
  - Neglect



- Gang involvement
  - Fire setting
  - Animal cruelty
- D. In cases of learning disabilities, the contractor is to ensure that an Individualized Educational Plan (IEP) is incorporated into the juvenile's Individualized Program Plan, in accordance with the requirements of the **Individuals with Disabilities Education Act**. Each IEP should, at a minimum, include:
- Annual goals. These statements are to include measurable goals that are developed in light of both the juvenile's abilities and disabilities.
  - Instructional methodology. To assist teachers in identifying effective teaching strategies.
  - Least Restrictive Environment. To "main stream" juveniles into a regular classroom setting.
- E. The contractor must ensure that, whenever possible the juvenile, counselor, parents and/or guardians are involved in the development and review of the Individualized Program Plan.
- The Individualized Program Plan must include short-term and long-term treatment objectives that not only meet the needs of the juvenile, but also address public protection, juvenile accountability, victim awareness, and the acquisition of skills that will contribute to the reduction of future delinquent or criminal behavior.
- F. The contractor must ensure that a juvenile's progress is reviewed with him/her at least every two weeks and that quarterly Progress Reports are mailed to the CCM and/or USPO. The quarterly progress report must document the juvenile's participation in programs. A copy of each progress report will be signed by the juvenile and contractor's representative (including title). At a minimum, these quarterly progress reports must discuss:
- Individualized Program Plan
  - Objectives/goals
  - Program compliance/re-assessments
  - Incident reports
  - Amenability to treatment
  - Health
  - Release/community reintegration
  - Family visitation

- G. Although juveniles in secure juvenile facilities do not have unsupervised access to the community, the CCM and/or USPO must approve a juvenile's involvement in any activity outside the secure perimeter.
- H. The contractor must provide appropriate safeguards and locked fireproof file cabinets for juvenile files, and must abide by the requirements regarding the disclosure of juvenile records in **Federal Law (18 USC 5031 through 5040, particularly 5038)** and the Bureau Program Statement on **Juvenile Delinquents, Juvenile Justice and Delinquency Prevention Act.**

#### PROGRAMMING OF JUVENILES

The contractor must submit written policies and procedures that meet the Bureau's requirement that each federal juvenile in custody receive at least 50 hours of formal quality programming per week, 12 months a year. The contractor must reference all sections in **Part 5, American Correctional Association Standards for Juvenile Training Schools**, and the Bureau Program Statements on **Minimum Standards for Administration, Interpretation and Use of Education Tests, Literacy Program (GED Standard), and English-as-a-Second-Language Program** for guidance and direction.

- A. The amount of time devoted to individual activities is to be determined by the Individualized Program Plan. Formal programming must be meaningful, measurable, and responsive to the educational, cultural, emotional, physical, and spiritual needs of the unique juvenile offender population. The SOW requires that the formal programming of federal juveniles be provided by trained and qualified staff. All programs, services, and opportunities must be provided without discrimination on the basis of race, creed, or national origin. To the extent possible, the programs must be culturally sensitive and present programs and activities specific to the unique needs of each ethnic group in the population.
- B. The contractor must submit policies and procedures that ensure Bureau's requirements for 50 hours of quality programming. Regardless of the terminology used to refer to the "Individualized Program Plan", "Individualized Treatment Plan", "Individualized Case Plan", "IPP" or "ICP", the elements of such programming should be written, must be individualized, and should include short-term and long-term objectives which are realistic and achievable. The IPP must

be reviewed regularly and updated as objectives are completed. The Bureau requires Individualized Program Plans for every juvenile in custody. The contractor is to ensure that all information obtained as a result of a juvenile's intake, orientation and assessments is incorporated into a formalized Individualized Program Plan that addresses, at minimum the following:

- Education
- Vocational Training
- Independent Living Preparation
- Specialized Treatment Goals and Objectives
- Counseling and Psychological Services
- Structured Recreational Activities
- Religious Services
- Cultural Services
- Financial Responsibility

- C. Educational activities for juvenile offenders should include, but should not be limited to, formal education at the appropriate level, whether it be elementary, secondary, college or General Equivalency Development (GED) preparatory classes.

All juveniles who are younger than the state mandated compulsory education age must be enrolled in a school program.

The educational program will provide each enrolled juvenile with a minimum of four hours of school each week day, 12 months per year. Education must be provided in an environment that is conducive to learning by teachers who have the appropriate credentials and/or licensing. Correspondence courses for juveniles with post high school level education must be made available on a case-by-case basis.

The contractor will provide library services that, at a minimum, contain:

- Reference materials for completing classroom assignments
- Reference materials for legal matters
- Educational newspapers, magazines, novels and materials for pleasure reading
- Copy of the United States Code
- Inter-library resources

An internal audit/evaluation will be conducted yearly to determine the effectiveness of the educational program.

Copies will be forwarded to the facility manager and the CCM.

- D. Vocational training must be provided to juveniles who satisfy the educational age requirement and criteria for certification in a particular vocation. Emphasis must be placed on preparing the juvenile for future employment. Vocational training may be integrated into a juvenile's educational plan.

In assessing the vocational training programs to be offered, the contractor should consider the local, regional and national job market and provide training in areas where skilled workers are in demand.

- E. The contractor must provide an Independent Living Preparation course for juveniles who meet the educational requirements. This course may supplement other educational opportunities, but should not be considered a substitute for formal education, especially for juveniles who are required to attend school due to the mandatory school age. The course must consist of training modules that will prepare the juvenile to make the transition to the community and adulthood. Modules should enable juveniles to acquire various skills, and should include, but should not be limited to:

1. A Career Development Module to prepare juveniles to enter the world of work. In order for that goal to be achieved, each juvenile needs to participate in a job readiness program. At the very minimum this module should teach the following skills:

- An introduction to the world of work
- General employment skills
- Resume preparation
- Completion of job application
- Interviewing techniques
- Job offer assessment

2. A Money Management Module to promote personal financial responsibility. This module should include, but should not be limited to, the following skills:

- Introduction to math fundamentals
- Instruction on maintaining checking and savings accounts
- Teaching how to establish and maintain a budget
- Introduction to thrifty spending techniques

3. A Health Education Module to teach the juvenile the essentials of nutrition, stress management and physical fitness. At a minimum, this module should teach the following skills:
    - Meal preparation
    - Consumer education
    - Stress Management
    - Maintaining healthy lifestyle
    - Seeking self-help groups
  4. A Cognitive Skills Module to prepare the juvenile to interact with others in the community setting. This module should teach the following:
    - Pro-social values and behavior
    - Anger management
    - Conflict resolution
    - Communication
    - Emotional self-control
  5. A Crime Victim Awareness Module designed to focus on victim empathy through activities that demonstrate the psychological harm crime victims experience.
  6. A Parenting Skills Module to prepare juveniles to accept responsibility for their present or future role as a parent, and as a role models for others. At a minimum, this module should teach the following:
    - Sex education
    - Child development and care
    - The effects of separation on children
    - Appropriate parental discipline
    - Planning family activities
    - Child and parental interaction
    - School requirements for children
    - Marriage enrichment
  7. A Gang Awareness module to enable juveniles to return to a community setting and avoid involvement in gang activities or affiliations. This module should provide the juvenile with skills necessary to resist gang recruitment efforts and to depend on problem solving techniques.
- F. The contractor must provide, at a minimum, 30 hours of substance abuse education for all juvenile offenders. Substance abuse education is not a substitute for chemical

dependency treatment. Although videos may supplement the educational aspects, it is not considered a substitute for actual instructor/juvenile interaction and discussion.

- G. The contractor must provide Chemical Dependency Treatment to juveniles whose assessment and/or clinical interview by a licensed chemical dependency counselor indicate a need for this treatment. The Chemical Dependency Treatment program must consist of group, individualized counseling, and drug testing, as deemed necessary by the licensed counselor. At least one hour of group counseling and one hour of individual counseling per week must be mandatory for juvenile offenders who are diagnosed as chemically dependent as a result of a clinical interview and/or assessments. At a minimum, therapists working with chemically dependent juveniles must be a Certified Addictions Counselor. Paraprofessionals with appropriate training and experience may be utilized, provided they are under the supervision of a professional counselor. Records must be kept of all sessions. If the counseling is provided by someone other than contractor staff, the contractor must maintain documentation (e.g., copies of paid invoices) to verify that services have been rendered.

The contractor must maintain a urine surveillance program which complies with the Program Statement on **Urine Surveillance to Detect and Deter Illegal Drug Use.**

- H. The contractor must provide Counseling and Psychological Services that are consistent with the needs of each juvenile based on assessments and/or a clinical diagnosis by a licensed therapist. A juvenile's Individualized Program Plan should address treatment needs in addition to a treatment plan that outlines how those needs are being met for juveniles who are in need of:

- Chemical Dependency Treatment
- Sex Offender Treatment
- Treatment for Emotional Disturbance
- Violent Offender Treatment
- Treatment for Mental Illness

Individual and family counseling must be made available to all juveniles, their families, and significant others, if feasible. Counseling must be provided by qualified professionals who have the appropriate state license, if such is required. The counseling staff must be able to obtain additional consultation services when the need arises.

The facility must have resources available in the community to assist counseling staff and to receive referrals for assessments when needed.

Staff must be sensitized to and trained in dealing with issues of juvenile sexual, emotional, and physical abuse. Counseling staff must incorporate abuse issues into counseling sessions.

- I. The contractor must provide structured recreational activities which may include, but are not limited to:
  - Supervised indoor and outdoor sports
  - Supervised table games
  - Supervised hobby crafts
- J. The contractor must provide religious services and activities for the juvenile offender population, subject only to the limitations necessary to maintain facility order and security. Provisions should be made to accommodate all universally recognized religions, and to afford access to appropriate facilities, members of the clergy, Native American medicine men or spiritual advisors, publications and religious symbols, and/or opportunities to adhere to dietary, holy day, and other requirements of various faiths. Such provisions should include accessible and private space for spiritual leaders and religious consultants to conduct pastoral counseling with juvenile offenders.
- K. The contractor must provide opportunities for all juveniles to participate in cultural activities in an effort to enhance their self-image and increase their cultural knowledge (e.g. Native Americans). Such opportunities should increase their awareness and appreciation for their own cultural heritage and the cultural heritage of others.

In cases of Native Americans, the program must integrate culturally specific elements that are sensitive to their unique needs. The contractor is required to provide for the spiritual needs of this population by providing access to sweat lodge, medicine men and/or spiritual leaders. Participation in Native-American ceremonies, access to Native-American literature, participation in "talking circles" and traditional peacemaking is strongly encouraged. Reasonable provisions for visitation by the extended family, tribal elders and tribal members should also be made, provided it does not interfere with the safe operations of the facility.

## RECORDS AND REPORTS

The contractor must submit written policies and procedures that dictate the confidential management of juvenile offender case records and the reporting of serious incidents. Reference should be made to **Part 1, Section E, American Correctional Standards for Juvenile Training Schools**, and **Form 583, Report of Incident** which must be completed in accordance with the **Correctional Services Manual**.

- A. These records will include:
- Initial intake information
  - Medical records, when available
  - Signed release of information form
  - Rules of residence and disciplinary policy, signed by the juvenile offender
  - Sentence computation data (to be provided by the government)
  - Documented legal authority to accept the juvenile offender
  - Referrals to other agencies
- B. The contractor must establish procedures to limit access to records to persons and public agencies that have both "a need to know" and "a right to know" and can demonstrate that access to such information is necessary for juvenile justice purposes. Written guidelines must regulate juvenile access to records.
- C. Upon the termination of the juvenile offender's confinement, the contractor is to forward the juvenile offender's file record to the CCM within three working days of the juvenile offender's release. The contractor may retain copies of public information which can identify the former juvenile



offender, copies of research data which have been de-personalized, and copies of reports generated by the contractor.

- D. The contractor must ensure that case records are safeguarded and regularly inventoried.
- E. The juvenile offender will sign a "Release of Information Consent Form" prior to the release of information, and a copy of the signed consent form will be maintained in the individual's case record.

### **ESCAPES, DEATHS, and SIGNIFICANT INCIDENTS**

The contractor must submit policies and procedures that allow for the immediate notification in the event of an escape or death. The notification list should include the CCM, parents/guardians and authorized personnel, such as the United States Marshals and the USPO. The contractor is to reference Part 3, Section B, American Correctional Association Standards for Juvenile Training Schools, and the Bureau Program Statements on **Escapes/ Deaths Notifications and Autopsies, Authority to Order.**

Additionally, the contractor will immediately notify the CCM by telephone in the event of a significant incident, defined as follows:

1. Major Disturbance: to include riots, civil disturbances and hostage incidents.
2. Death of a juvenile offender.
3. Juvenile offender suicide attempts.
4. Assaults on staff or juvenile offenders resulting in injury.
5. Injury to juvenile requiring medical attention.
6. Any adverse incident that attracts unusual interest or national or local publicity.
7. Food/Work strikes.
8. Escapes attempts.
9. Fires involving injury or property damage.
10. Bomb or bomb threats.

11. Staff misconduct that would directly discredit the criteria set forth in the SOW.

A narrative report will be forwarded to the CCM within one working day of any of the above-mentioned incidents.

#### **RELEASE FROM SERVICE OF SENTENCE**

The contractor must submit policies and procedures for preparing federal juveniles for release back into the community. The contractor must reference Part 5, Section I, American Correctional Association Standards for Juvenile Training Schools, Title 18 USC 4281, and the Bureau Program Statements on Detainers and the Interstate Agreement on Detainers, Central Inmate Monitoring System, Sentence Computation Manual. Additionally, the contractor must consult with the USPO and/or the CCM throughout the juvenile's custody to discuss release plan issues.

#### **SEXUAL ABUSE/ASSAULT PREVENTION AND INTERVENTION**

A. **GENERAL DEFINITIONS.** Sexual abuse/assault affects both juvenile offenders and correctional employees, and has an adverse impact on the orderly running of correctional facilities.

Sexual abuse/assault/misconduct is defined as verbal or physical conduct of a sexual nature directed toward a juvenile offender by another juvenile offender, a staff member, an agent, or a volunteer of a corrections agency, department or private organization. Sexual misconduct by corrections staff against a juvenile offender must be prohibited by policy. Sexual misconduct, as it relates to juvenile offenders, is any sexual advance, welcome or not, by a juvenile offender, staff member, agent or volunteer of a corrections agency, department or private organization. It is illegal and a violation of federal law.

B. **RESPONSIBILITIES.** The contractor must develop and implement a comprehensive staff training program addressing the facility's sexual abuse/assault prevention and intervention program. Written policy, procedure, and practice must provide that all staff receive such training during pre-service training, and on an annual basis as part of the facility's in-service training plan.

The contractor should develop and make available to all juvenile offenders an education program which addresses the subject of sexual abuse/assault. The educational program must include topics such as: recognizing behaviors that are inappropriate,

harassing, or assaultive; how to seek protection; privacy rights; medical and psychological programs for victims of abuse; how to make confidential reporting of sensitive issues to facility staff, the Bureau, the OIG, and/or local law enforcement.

The contractor must immediately report all sexual misconduct allegations to the Bureau's Community Corrections Manager.

The contractor is to establish local intervention protocol that offers the juvenile offender immediate protection from the assailant.

The contractor must have in place procedures which assure a medical examination, and counseling by a clinical psychologist within 24 hours of the incident.

### **JUVENILES UNDER SUPERVISION**

The contractor must develop a plan that will address the process for the intake and release of juvenile in custody as a condition of probation. The contractor must coordinate communications between the facility staff, CCM and the USPO to ensure that, at the very least, the following issues are addressed during the juvenile's custody period:

- Individualized Program Plan
- Request for Medical/Mental/Dental Services
- Special Incidents
- Quarterly Reports
- Progress Reports
- Subsistence
- Release Plans
- Instructions from the Court
- Costs for Services
- Visitation

### **RESEARCH AND EVALUATION**

All federally sentenced juvenile offenders are placed in contract programs, therefore, it is the responsibility of the contractor to generate and gather data for research and evaluation purposes. The contractor must be aware of the confidentiality requirements of **Chapter 18 of the United States Code, Section 5038**, which prevents the release of information to unauthorized persons. The contractor should reference **Part 1, Section F** and **Part 4, Section C of American Correctional Association Standards for Juvenile Training Schools** in submitting written policies and procedures that establishes the facility's system(s) for juvenile

participation in research, information storage and retrieval, master indexes, daily reports, evaluation, and research. Additionally, the contractor must respond to all Bureau surveys, questionnaires, or request for data on a timely basis. Contractor staff and the CCM are considered instrumental in identifying information needs, and should be consulted when policies and procedures require changes.

## **INSPECTIONS**

The contractor must submit policies and procedures that ensure that the performance of services is in accordance with this SOW. Reference is made to **all sections in Part 2, and Part 4, Sections A and B, American Correctional Association Standards for Juvenile Training Schools** for guidance and direction.

- A. Additionally, the CCM, the COS, and/or other Bureau program area experts will conduct on-site visits for monitoring purposes and to provide technical direction. Technical Direction is defined without limitation as:
  - 1. Directions to the contractor which redirect the contract performance effort, shift work emphasis, require pursuit of certain lines of inquiry, fill in details or otherwise serve to accomplish the actual scope of work.
  - 2. Supplying information to the contractor which assists in the interpretation of technical portions of the SOW.
  - 3. Receiving, reviewing, and inspecting reports and information provided by the contractor to the government.
  - 4. Evaluating performance and certifying all invoices for payment.
- B. The CCM will establish a monitoring schedule consisting of announced and unannounced visits that focus on service delivery and requirements as outlined in the SOW. The results of these monitoring visits are to be brought to the attention of the facility's Director/CEO through a narrative monitoring report.
- C. The monitoring report contains the results of the inspection and identifies deviations from the SOW as findings.

1. Findings are defined as violations of the contractual agreement between the contractor and the Bureau of Prisons.
  2. Recommendations are defined as direction, guidance and suggestions in areas in need of improvement, but are not violations of the agreement.
- D. Additionally, the monitoring report notes the desired corrective action for each finding, or repeat finding. Upon receipt of the report, the facility administrator/CEO is responsible for drafting a response to be forwarded to the CCM within the time frame specified. The response must note corrective action taken and/or in the event constraints are identified, will establish a realistic time frame for completion of corrective action.
- E. The Community Corrections Manager "closes out" monitorings by letter when the contractor's written response has indicated all deficiencies have been corrected, or acceptable plans with appropriate time frames have been outlined to correct deficiencies.
- F. Failure to respond to a monitoring report or to take action to correct all findings may result in the termination of the contractual agreement.

LIST OF ATTACHMENTS

Attachment A	. . . . .	REQUEST FOR MEDICAL TREATMENT
Attachment B	. . . . .	PROHIBITED ACTS

REQUEST FOR MEDICAL TREATMENT

**NOTE:** All requests must be submitted at least one week prior to the services being provided. In emergency cases, a telephonic response will be provided and this information should be faxed to the CCMs office.

Name of Facility: \_\_\_\_\_ Date: \_\_\_\_\_

Address: \_\_\_\_\_

City and State: \_\_\_\_\_ Register Number: \_\_\_\_\_

Name of Juvenile: \_\_\_\_\_

Medical Condition: \_\_\_\_\_

Name of Doctor: \_\_\_\_\_

Address: \_\_\_\_\_

City and State: \_\_\_\_\_

Estimated Cost: \$ \_\_\_\_\_

Staff Signature and Title: \_\_\_\_\_

CCM Response: Approved: \_\_\_\_\_ Denied: \_\_\_\_\_

CCM Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Reminder:** The BOP is not responsible for medical care of Juvenile residents in a facility as a condition of probation or supervision. Payment for any needed outside medical care should be discussed with the responsible U.S. Probation Officer in the sentencing Court.

## FEDERAL BUREAU OF PRISONS

### Prohibited Acts (CCCs)

Note to the CDC Chairman: Choice of recommended sanctions must coincide with the severity range of the infraction. Normally, the more severe infractions should carry greater penalties than those in the lower severity levels. Sanctions A, B, B.1, C, D and F require CCM approval prior to imposition.

The Community Corrections Manager may increase the severity of sanction(s) recommended, but may not exceed the ranges specified.

More than one sanction may be imposed for a particular infraction.

#### **Severity Range:**

**100 = Greatest      200 = High      300 = Moderate      400 = Low**



GREATEST CATEGORY - 100 SERIES (SANCTIONS A-G)		
CODE	PROHIBITED ACTS	SANCTIONS
100	Killing	A. Recommend parole date rescission or retardation.
101	Assaulting any person (includes sexual assault) or an armed assault on the institution's secure perimeter (a charge for assaulting any person at this level is to be used only when serious physical injury has been attempted or carried out by an inmate)	B. Forfeit earned statutory good time or non-vested good conduct time (up to 100%) and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended).
102	Escape from escort; escape from a secure institution (low, medium, and high security level and administrative institutions); or escape from a minimum institution <u>with</u> violence	B.1 Disallow ordinarily between 50 and 75% (27-41 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
103	Setting a fire (charged with this act in this category only when found to pose a threat to life or a threat of serious bodily harm or in furtherance of a prohibited act of Greatest Severity, e.g., in furtherance of a riot or escape; otherwise the charge is properly classified Code 218, or 329)	C. Disciplinary transfer (recommend). D. Disciplinary segregation (up to 60 days). E. Make monetary restitution.

GREATEST CATEGORY - 100 SERIES (SANCTIONS A-G) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
104	Possession, manufacture, or introduction of a gun, firearm, weapon, sharpened instrument, knife, dangerous chemical, explosive or any ammunition	F. Withhold statutory good time (Note - can be in addition to A through E - cannot be the only sanction executed).  G. Loss of privileges (Note - can be in addition to A through E - cannot be the only sanction executed).
105	Rioting	
106	Encouraging other to riot	
107	Taking hostage(s)	
108	Possession, manufacture, or introduction of a hazardous tool (Tools most likely to be used in an escape or escape attempt or to serve as weapons capable of doing serious bodily harm to others; or those hazardous to institutional security or personal safety; e.g., hack-saw blade)	
109	(Not to be Used)	
110	Refusing to provide a urine sample or to take part in other drug-abuse testing	
111	Introduction of any narcotics, marijuana, drugs, or related paraphernalia not prescribed for the individual by the medical staff	

GREATEST CATEGORY - 100 SERIES (SANCTIONS A-G) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
112	Use of any narcotics, marijuana, drugs, or related paraphernalia not prescribed for the individual by the medical staff	
113	Possession of any narcotics, marijuana, drugs, or related paraphernalia not prescribed for the individual by the medical staff	
198	Interfering with a staff member in the performance of duties. ( <u>Conduct must be of the Greatest Severity nature.</u> ) This charge is to be used only when another charge of greatest severity is not applicable.	
199	Conduct which disrupts or interferes with the security or orderly running of the institution or the BOP. ( <u>Conduct must be of the Greatest Severity nature.</u> ) This charge is to be used only when another charge of greatest severity is not applicable.	

HIGH CATEGORY - 200 SERIES (SANCTIONS A-M)		
CODE	PROHIBITED ACTS	SANCTIONS
200	Escape from unescorted Community Programs and activities and Open Institutions (minimum) and from outside secure institutions-- <u>without</u> violence.	A. Recommend parole date rescission or retardation.
201	Fighting with another person	B. Forfeit earned statutory good time or non-vested good conduct time up to 50% or up to 60 days, whichever is less, and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended)
202	(Not to be used)	
203	Threatening another with bodily harm or any other offense	
204	Extortion, blackmail, protection: Demanding or receiving money or anything of value in return for protection against others, to avoid bodily harm, or under threat of informing	B.1 Disallow ordinarily between 25 and 50% (14-27 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
205	Engaging in sexual acts	C. Disciplinary transfer (recommend).
206	Making sexual proposals or threats to another	D. Disciplinary segregation (up to 30 days).
207	Wearing a disguise or a mask	

HIGH CATEGORY - 200 SERIES (SANCTIONS A-M) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
208	Possession of any unauthorized locking device, or lock pick, or tampering with or blocking any lock device (includes keys), or destroying, altering, interfering with, improperly using, or damaging any security device, mechanism, or procedure	E. Make monetary restitution. F. Withhold statutory good Time G. Loss of privileges: commissary, movies, recreation, etc. H. Change housing (quarters)
209	Adulteration of any food or drink	I. Remove from program and/or group activity
210	(Not to be used)	J. Loss of job
211	Possessing any officer's or staff clothing	K. Impound inmate's personal property
212	Engaging in, or encouraging a group demonstration	L. Confiscated contraband
213	Encouraging others to refuse to work, or to participate in a work stoppage	M. Restrict to quarters
214	(Not to be used)	
215	Introduction of alcohol into BOP facility	

HIGH CATEGORY - 200 SERIES (SANCTIONS A-M) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
216	Giving or offering an official or staff member a bribe, or anything of value	
217	Giving money to, or receiving money from, any person for purposes of introducing contraband or for any other illegal or prohibited purposes	
218	Destroying, altering, or damaging government property, or the property of another person, having a value in excess of \$100.00 or destroying, altering, damaging life-safety devices (e.g., fire alarm) regardless of financial value	
219	Stealing (theft; this includes data obtained through the unauthorized use of a communications facility, or through the unauthorized access to disks, tapes, or computer printouts or other automated equipment on which data is stored.)	
220	Demonstrating, practicing, or using martial arts, boxing (except for use of a punching bag), wrestling, or other forms of physical encounter, or military exercises or drill (except for drill authorized and conducted by staff)	

HIGH CATEGORY - 200 SERIES (SANCTIONS A-M) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
221	Being in an unauthorized area with a person of the opposite sex without staff permission	
222	Making, possessing, or using intoxicants	
223	Refusing to breathe into a breathalyser or take part in other testing for use of alcohol	
224	Assaulting any person (charged with this act only when less serious physical injury or contact has been attempted or carried out by an inmate)	
298	Interfering with a staff member in the performance of duties. ( <u>Conduct must be of the High Severity nature.</u> ) This charge is to be used only when another charge of the high severity is not applicable.	
299	Conduct which disrupts or interferes with the security or orderly running of the institution or the BOP. ( <u>Conduct must be of the High Severity nature.</u> ) This charge is to be used only when another charge of high severity is not applicable.	

MODERATE CATEGORY - 300 SERIES (SANCTIONS A-N)		
CODE	PROHIBITED ACTS	SANCTIONS
300	Indecent Exposure	A. Recommend parole date rescission or retardation.
301	(Not to be used)	
302	Misuse of authorized medication	B. Forfeit earned statutory good time or non-vested good conduct time up to 25% or up to 30 days, whichever is less, and/or terminate or disallow extra good time (an extra good time or good conduct time sanction may not be suspended).
303	Possession of money or currency, unless specifically authorized, or in excess of the amount authorized	
304	Loaning of property or anything of value for profit or increased return	
305	Possession of anything not authorized for retention or receipt by the inmate, and not issued to him through regular channels	B.1 Disallow ordinarily up to 25% (1-14 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
306	Refusing to work, or to accept a program assignment	C. Disciplinary Transfer (recommend).
307	Refusing to obey an order of any staff member (May be categorized and charged in terms of greater severity, according to the nature of the order being disobeyed; e.g., failure to obey an order which furthers a riot would be charged as 105, Rioting; refusing to obey an order which furthers a fight would be charged as 201, Fighting; refusing to provide a urine sample when ordered would be charged as Code 110)	D. Disciplinary segregation (up to 30 days). E. Make monetary restitution. F. Withhold statutory good Time



MODERATE CATEGORY - 300 SERIES (SANCTIONS A-N) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
308	Violating a condition of a furlough	G. Loss of privileges: commissary, movies, recreation, etc.
309	Violating a condition of a community program	H. change housing (quarters)
310	Unexcused absence from work or any assignment	I. Remove from program and/or group activity
311	Failing to perform work as instructed by the supervisor	J. Loss of job
312	Insolence towards a staff member	K. Impound inmate's personal property
313	Lying or providing a false statement to a staff member.	L. Confiscated contraband
314	Counterfeiting, forging or unauthorized reproduction of any document, article of identification, money, security, or official paper. (May be categorized in terms of greater severity according to the nature of the item being reproduced; e.g., counterfeiting release papers to effect escape, Code 102 or Code 200.)	M. Restrict to quarters
315	Participating in an unauthorized meeting or gathering	N. Extra duty
316	Being in an unauthorized area	

MODERATE CATEGORY - 300 SERIES (SANCTIONS A-N) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
317	Failure to follow safety or sanitation regulations	
318	Using any equipment or machinery which is not specifically authorized	
319	Using any equipment or machinery contrary to instructions or posted safety standards	
320	Failing to stand count	
321	Interfering with the taking of count	
322	(Not to be used)	
323	(Not to be used)	
324	Gambling	
325	Preparing or conducting a gambling pool	
326	Possession of gambling paraphernalia	
327	Unauthorized contacts with the public	
328	Giving money or anything of value to, or accepting money or anything of value from: another inmate, or any other person without staff authorization	

MODERATE CATEGORY - 300 SERIES (SANCTIONS A-N) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
329	Destroying, altering or damaging government property, or the property of another person, having a value of \$100.00 or less	
330	Being unsanitary or untidy; failing to keep one's person and one's quarters in accordance with posted standards	
331	Possession, manufacture, or introduction of a non-hazardous tool or other non-hazardous contraband (Tool not likely to be used in an escape or escape attempt, or to serve as a weapon capable of doing serious bodily harm to others, or not hazardous to institutional security or personal safety; Other non-hazardous contraband includes such items as food or cosmetics)	
398	Interfering with a staff member in the performance of duties. ( <u>Conduct must be of the Moderate Severity nature.</u> ) This charge is to be used only when another charge of moderate severity is not applicable.	

MODERATE CATEGORY - 300 SERIES (SANCTIONS A-N) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
399	Conduct which disrupts or interferes with the security or orderly running of the institution or the BOP. ( <u>Conduct must be of the Moderate Severity nature</u> ). This charge is to be used only when another charge of moderate severity is not applicable.	

LOW CATEGORY - 400 SERIES (SANCTIONS B.1-P)		
CODE	PROHIBITED ACTS	SANCTIONS
400	Possession of property belonging to another person	B.1 Disallow ordinarily between 25 and 50% (14-27 days) of good conduct time credit available for year (a good conduct time sanction may not be suspended).
401	Possessing unauthorized amount of otherwise authorized clothing	
402	Malingering, feigning illness	
403	Smoking where prohibited	C. Disciplinary transfer (recommend).
404	Using abusive or obscene language	D. Disciplinary segregation (up to 30 days).
405	Tattooing or self-mutilation	E. Make monetary restitution.
406	Unauthorized use of mail or telephone (Restriction, or loss for a specific period of time, of these privileges may often be an appropriate sanction G)(May be categorized and charged in terms of greater severity, according to the nature of the unauthorized use; e.g., the telephone is used for planning, facilitating, committing an armed assault on the institution's secure perimeter, would be charged as Code 101, Assault)	F. Withhold statutory good time
		G. Loss of privileges: commissary, movies, recreation, etc.
		H. Change housing (quarters)
		I. Remove from program and/or group activity
		J. Loss of job
		K. Impound inmate's personal property

LOW CATEGORY - 400 SERIES (SANCTIONS B.1-P) cont.		
CODE	PROHIBITED ACTS	SANCTIONS
407	Conduct with a visitor in violation of Bureau regulations (Restriction, or loss for a specific period of time, of these privileges may often be an appropriate sanction G)	L. Confiscated contraband M. Restrict to quarters N. Extra duty O. Reprimand
408	Conducting a business	P. Warning
409	Unauthorized physical contact (e.g., kissing, embracing)	
498	Interfering with a staff member in the performance of duties. ( <u>Conduct must be of the Low Mode-rate Severity nature.</u> ) This charge is to be used only when another charge of low moderate severity if not applicable.	
499	Conduct which disrupts or interferes with the security or orderly running of the institution or the BOP. ( <u>Conduct must be of the Low Moderate severity nature.</u> ) This charge is to be used only when another charge of low moderate severity is not applicable.	

NOTE: Aiding another person to commit any of these offenses, attempting to commit any of these offenses, and making plans to commit any of these offenses, in all categories of severity, shall be considered the same as a commission of the offenses itself.

When the prohibited act is interfering with a staff member in the performance of duties (Code 198, 298, 398, or 498), or Conduct Which Disrupts (Code 199, 299, 399, or 499), the DHO or UDC, in its findings, should indicate a specific finding of the severity level of the conduct, and a comparison to an offense (or offenses) in that severity level which the DHO or UDC finds is most comparable.

Example: "We find the act of \_\_\_\_\_ to be of High severity, most comparable to prohibited act Engaging in a Group Demonstration."

LIST OF BOP FORMS  
(Forms Provided by CCM)

ADMINISTRATIVE REMEDY APPEAL

CENTER DISCIPLINE COMMITTEE REPORT

CENTRAL OFFICE ADMINISTRATIVE REMEDY APPEAL

CHECKLIST FOR CDC CERTIFICATION

DUTIES OF STAFF REPRESENTATIVE

INCIDENT REPORT

INMATE RIGHTS AT THE DISCIPLINARY HEARING

NOTICE OF CENTER DISCIPLINARY COMMITTEE HEARING

PROGRAM STATEMENT 5216.05 JUVENILE DELINQUENTS/JUVENILE JUSTICE  
AND DELINQUENCY PREVENTION ACT OF 1974

PUBLIC VOUCHER FOR PURCHASES AND SERVICES OTHER THAN PERSONAL

REGIONAL ADMINISTRATIVE REMEDY APPEAL

WAIVER OF APPEARANCE